

**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

Priority for new non-provisional applications under 37 C.F.R. § 1.53(B))

Attorney Docket No. M61.12-0346

*First Inventor or Application Identifier*

Eric D. Brill et al.

# METHOD AND APPARATUS FOR UNSUPERVISED TRAINING OF NATURAL LANGUAGE PROCESSING UNITS

Express Mail Label No. **EL844343275US**

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

**Address To:** Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

1. <input checked="" type="checkbox"/> *Fee Transmittal Form e.g., PTO/SB17) (Submit an original and a duplicate for fee processing)	5. <input type="checkbox"/> Microfiche Computer Program (Appendix)
2. <input checked="" type="checkbox"/> Specification <span style="margin-left: 100px;">[Total Sheets <span style="border: 1px solid black; padding: 2px;">23</span> ]</span>	6. <input type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission (If applicable, all necessary)
(preferred arrangement set forth below)	
- Descriptive title of the Invention	
- Cross References to Related Applications	
- Statement Regarding Fed sponsored R & D	
- Reference to Microfiche Appendix	
- Background of the Invention	
- Brief Summary of the Invention	
- Brief Description of the Drawings (if filed)	
- Detailed Description	
- Claim(s)	
- Abstract of the Disclosure	
3. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. § 113) <span style="margin-left: 100px;">[Total Sheets <span style="border: 1px solid black; padding: 2px;">3</span> ]</span>	7. <input checked="" type="checkbox"/> Assignment Papers (cover sheet & document(s))
4. <input checked="" type="checkbox"/> Oath or Declaration <span style="margin-left: 100px;">[Total Sheets <span style="border: 1px solid black; padding: 2px;">3</span> ]</span>	8. <input type="checkbox"/> 37 C.F.R. § 3.73(b) Statement (when there is an assignee) <span style="float: right;"><input type="checkbox"/> Power of Attorney</span>
a. <input checked="" type="checkbox"/> Newly executed (original or copy)	9. <input type="checkbox"/> English Translation Document
b. <input type="checkbox"/> Copy from a prior application (37 C.F.R. § 1.63(d)) (for continuation/divisional with Box 16 completed)	10. <input type="checkbox"/> Information Disclosure Statement (IDS/PTO – PTO) <span style="float: right;"><input type="checkbox"/> Copies of II</span>
	11. <input type="checkbox"/> Preliminary Amendment
	12. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503)
	13. <input type="checkbox"/> *Small Entity <input type="checkbox"/> Statement filed in prior application Statement(s) <span style="float: right;"><input type="checkbox"/> Status still proper and desired</span>

## ACCOMPANYING APPLICATION PARTS

7.  Assignment Papers (cover sheet & document(s))

8.  37 C.F.R. § 3.73(b) Statement *(when there is an assignee)*  Power of Attorney

9.  English Translation Document

10.  Information Disclosure Statement (IDS/PTO – PTO)  Copies of IDS

11.  Preliminary Amendment

12.  Return Receipt Postcard (MPEP 503)

13.  \*Small Entity  Statement filed in prior application. Statement(s) Status still proper and desired (PTO/SB/09-12)

14.  Certified Copy of Priority Document(s) *(if foreign priority is claimed)*

15.  Request and Cert. Under 35 USC 122 (Non-Pub)

16.  Other: Our check in the amount of \$710.00  
Our check in the amount of \$40.00

**\* NOTE FOR ITEMS 1 & 13: IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27), EXCEPT IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.28).**

16. If a **CONTINUING APPLICATION**, check appropriate box, and supply the requisite information below and in a preliminary amendment.

Continuation     Divisional     Continuation -in part (CIP)    of prior application No:

Prior application information: Examiner: Group/Art Unit:

Prior application information: \_\_\_\_\_ Examiner: \_\_\_\_\_ Group/Art Unit: \_\_\_\_\_  
**FOR CONTINUATION or DIVISIONAL APPS only:** The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

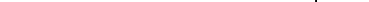
## 17. CORRESPONDENCE

Customer Number or Bar Code Label *(Insert Customer No. or Attach bar code label here)* or  Correspondence address below

Theodore M. Magee  
WESTMAN CHAMPION & KELLY

Name	WESTMINSTER & KELLY
Address	Suite 1600 – International Centre 900 South Second Avenue

Address 350 South Second Avenue  
City Minneapolis State MN Zip Code 55402-3319  
Country USA Phone (612) 334-2222 Fax (612) 334-2212

Country	USA	Telephone	(612) 334-3222	Fax	(612) 334-3312
Name (Print/type)	Theodore M. Magee		Registration No (Attorney/Agent)	39,758	
Signature				Date	5/4/01

# FEE TRANSMITTAL

Complete if Known																																																											
Application No.																																																											
Filing Date			HEREWITH																																																								
First Named Inventor			Eric D. Brill et al.																																																								
Title			METHOD AND APPARATUS FOR UNSUPERVISED TRAINING OF NATURAL LANGUAGE PROCESSING UNITS																																																								
Group Art Unit																																																											
Examiner Name																																																											
Total Amount of Payment \$ 710			Atty. Docket Number M61.12-0346																																																								
METHOD OF PAYMENT (Check One)																																																											
1. <input checked="" type="checkbox"/> The Director is hereby authorized to charge any additional fee required under 37 C.F.R. § 1.16 and 1.17, including any petition fee, and credit any over payments to Deposit Account No. <u>23-1123</u> . Westman, Champlin & Kelly, P.A.																																																											
2. <input checked="" type="checkbox"/> Check Enclosed																																																											
FEE CALCULATION																																																											
<b>1. BASIC FILING FEE</b>																																																											
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Signature <u>Theodore M. Magee</u> (Theodore M. Magee)			Reg. No. <u>39,758</u>																																																								
Date <u>5-4-01</u>			Deposit Account No. <u>23-1123</u>																																																								